

Appln. No. 09/653,517
Amdt/Response filed March 15, 2006
reply to Office Action of Nov. 28, 2005

PATENT
Customer No. 22,852
Attorney Docket No. 7451.0029-00
Intertrust Ref. No. IT-28.1

REMARKS / ARGUMENTS

In response to the Office Action mailed November 28, 2005 ("Office Action"), Applicants respectfully request that the Office enter the amendments set forth above and consider the following remarks. By this response, claims 1-8, 16, 18, and 19 are amended. Representative support for the amendments can be found on pages 24-33 of the specification. After entry of this paper, claims 1-20 will remain pending in this application.

In the Office Action, the Examiner: (i) rejected claim 6 under 35 U.S.C. § 112, second paragraph, as being incomplete; (ii) rejected claims 1-3, 5, 7-9 and 14-20 under 35 U.S.C. § 102(e) as being anticipated by U.S. Patent No. 6,088,801 to Grecsek ("Grecsek"); (iii) rejected claims 4, 6 and 10 under 35 U.S.C. § 103(a) as being unpatentable over Grecsek in view of U.S. Patent No. 6,064,739 to Davis ("Davis"); (iv) rejected claim 11 under 35 U.S.C. § 103(a) as being unpatentable over Grecsek in view of Davis and further in view of U.S. Patent No. 6,236,727 to Ciacelli ("Ciacelli"); and (v) rejected claims 12 and 13 under 35 U.S.C. § 103(a) as being unpatentable over Grecsek in view of European Patent No. EP0915620 to Shimada ("Shimada").

On March 2, 2006, the Applicants' representatives, Mr. McDow and Mr. Lentini, had a teleconference regarding the § 102 and 103 rejections with Examiner Chen. Applicants thank the Examiner for the courtesies and helpful discussion, in which amended claim language for the independent claims was discussed. Applicants' summary here responds to the Examiner Summary paper mailed March 8, 2006, and is in accord with 37 C.F.R. § 1.133(b), thus no further written statement regarding this call is believed necessary at this time.

Rejection of Claim 6 under 35 U.S.C. § 112, second paragraph

Claim 6 was rejected under 35 U.S.C. § 112, second paragraph, as being incomplete for omitting the elements "encrypted electronic content to be decrypted."

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Applicants believe this rejection is moot in light of the amendments made to claim 6, and thus respectfully request that this rejection be withdrawn.

Rejection of 1-3, 5, 7-9, and 14-20 under 35 U.S.C. § 102(e)

Claims 1-3, 5, 7-9, and 14-20 were rejected under 35 U.S.C. § 102(e) as being anticipated by Grecsek. These rejections are respectfully traversed in view of the following remarks.

Claim 1 includes the unique limitations of evaluating one or more predefined characteristics of one or more software modules to determine if the one or more software modules are operable to process electronic media content in an authorized manner, in which the evaluation includes operating a protection mechanism selected from the group consisting of: evaluating whether the one or more software modules make calls to certain system interfaces; determining whether the one or more software modules include one or more predefined code sequences associated with undesirable behavior; analyzing dynamic timing characteristics of the one or more software modules for anomalous timing characteristics indicative of invalid or malicious activity; determining whether the one or more software modules are included on a list of trusted software modules; determining whether the one or more software modules are included on a list of untrusted software modules; and determining whether the one or more software modules have been digitally signed by a trusted party. These features are not shown or suggested by Grecsek.

Claim 5 includes the unique features of monitoring at least one system interface for electronic data, generating an identifier associated with the electronic data, comparing the identifier with an identifier associated with a piece of electronic media content, and, if the two identifiers are not related in a predefined manner, taking a predefined defensive action selected from the group consisting of: modifying at least a portion of the piece of electronic data, or preventing the transfer of at least a portion of the piece of electronic data to an output device via the system interface. These features are not shown or suggested by Grecsek.

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Claims 2-3, 7-9, and 14-20 are ultimately dependent from claims 1 or 5, respectively, and are thus allowable for at least the reasons set forth above in connection with claims 1 and 5.

Applicants therefore respectfully request that the Examiner withdraw the rejections of claims 1-3, 5, 7-9, and 14-20 and allow these claims.

Rejection of Claims 4, 6, and 10 under 35 U.S.C. § 103(a)

Claims 4, 6, and 10 were rejected under 35 U.S.C. § 103(a) as being unpatentable over Grecsek in view of Davis. These rejections are respectfully traversed in view of the following remarks.

Claim 4 includes the unique limitations of means for evaluating one or more predefined characteristics of one or more drivers responsible for handling electronic media content, in which the means for evaluating includes means for operating a protection mechanism selected from the group consisting of: means for evaluating whether the one or more drivers make calls to certain system interfaces; means for determining whether the one or more drivers include one or more predefined code sequences associated with undesirable behavior; means for analyzing dynamic timing characteristics of the one or more drivers for anomalous timing characteristics indicative of invalid or malicious activity; means for determining whether the one or more drivers are included on a list of trusted drivers; means for determining whether the one or more drivers are included on a list of untrusted drivers; and means for determining whether the one or more drivers have been digitally signed by a trusted party. These features are not shown or suggested by Grecsek.

Moreover, Davis does not cure the failings of Grecsek noted above. In particular, Davis does not show or suggest the unique combination of claim 4 described above. Again, these unique details are provided only by Applicants' invention.

Davis describes protecting video data from unauthorized copying during transmission of the video data from storage to the viewing device using a first encryption engine to decrypt previously encrypted video data and re-encrypt that data,

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a frame buffer to store the newly encrypted video data, and a second encryption engine to decrypt the video data in the frame buffer prior to transmission to an analog signal for display. Davis at Column 2, lines 19-29. The components are encased in a hardware envelope that prevents interception of the decrypted video data. *Id.* at lines 63-65.

Davis does not show or suggest the disclosure missing from Grecsek, viz.: means for evaluating whether the one or more drivers make calls to certain system interfaces; means for determining whether the one or more drivers include one or more predefined code sequences associated with undesirable behavior; means for analyzing dynamic timing characteristics of the one or more drivers for anomalous timing characteristics indicative of invalid or malicious activity; means for determining whether the one or more drivers are included on a list of trusted drivers; means for determining whether the one or more drivers are included on a list of untrusted drivers; or means for determining whether the one or more drivers have been digitally signed by a trusted party.

Moreover, as noted in Applicants' previous response, the Examiner's combination of Davis and Grecsek would violate the requirements of M.P.E.P. § 2143.01, as the combination would render each of the cited references unsatisfactory for its intended purpose. Grecsek describes systems and methods for identifying malicious software code before the code is executed on a computer system. Grecsek at Column 2, lines 40-45. The systems and methods described in Grecsek "provide a means for determining the capabilities of [a potentially malicious] process ... before it executes." *Id.* at lines 23-27. A process that is determined to include potentially dangerous operations can be denied access to system resources or have certain operations disabled. *See*, Column 4, lines 21-36.

Applicants note that one of ordinary skill in the art would not have any motivation to combine Davis with Grecsek without the use of prohibited hindsight, since the two reference address different problems and operate on different types of data. Davis is concerned with copy protection; not preventing the execution of malicious operations. The methods and systems described by Grecsek are directed

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to evaluating program operations, and do not address securing data using an encryption-decryption scheme within a separate hardware device. Conversely, the encryption-decryption methods and systems described in Davis do not relate to identifying malicious code and preventing the execution of such code. The Examiner has not presented any argument or evidence to explain why or how one of ordinary skill would combine these two very different descriptions to obtain the invention as claimed.

Claims 6 and 10 are dependent on claim 5, and thus are allowable for at least the reasons set forth above in connection with claim 5. In addition, Applicants respectfully submit that Davis fails to cure the deficiencies of Grecsek, noted above.

Applicants therefore respectfully request that the Examiner withdraw the rejection of claims 4, 6, and 10 and allow these claims.

Rejection of Claim 11 under 35 U.S.C. § 103(a)

Claim 11 was rejected under 35 U.S.C. § 103(a) as being unpatentable over Grecsek in view of Davis and further in view of Ciacelli.

Claim 11 is ultimately dependent from claim 5, however, and is thus allowable for at least the reasons set forth above in connection with claim 5. In addition, Applicants respectfully submit that combining Ciacelli with Grecsek and Davis would fail to cure the deficiencies of Grecsek and Davis, noted above. Thus, Applicants respectfully request that the Examiner withdraw the rejection of claim 11 and allow this claim.

Rejection of Claims 12 and 13 under 35 U.S.C. § 103(a)

Claims 12 and 13 were rejected under 35 U.S.C. § 103(a) as being unpatentable over Grecsek and in view of Shimada.

Claims 12 and 13 are dependent from claim 5, however, and are thus allowable for at least the reasons set forth above in connection with claim 5. In addition, Applicants respectfully submit that combining Shimada with Grecsek would fail to cure

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the deficiencies of Grecsek, noted above. Thus, Applicants respectfully request that the Examiner withdraw the rejection of claims 12 and 13 and allow these claims.

CONCLUSION

In view of the foregoing remarks, Applicants submit that the pending claims are in allowable form, and respectfully request reconsideration of the rejections and timely allowance of the claims.

Please grant any extensions of time required to enter this response and charge any additional required fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

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By: 

Andrew B. Schwaab
Reg. No. 38,611

Finnegan Henderson Farabow
Garrett & Dunner L.L.P.
901 New York Ave., N.W.
Washington, D.C. 20001
Attorney direct (650) 849-6643